



THE UNIVERSITY OF THE WEST INDIES

THESIS GUIDE

**A GUIDE FOR THE PREPARATION OF
THESES
AND RESEARCH PAPERS**

It is the responsibility of the candidate to know the General & Faculty Regulations governing Higher Degrees.

This Guide is meant to help candidates observe the Regulations; it is not a substitute for them.

February 2001

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INTRODUCTION

This Guide is intended as a supplement to the University Regulations for Higher Degrees. Candidates should also consult their departments for specific Faculty requirements.

Candidates are advised to acquaint themselves with the recommendations of this Guide in the early stages of preparation, even though some of the recommendations apply only to the final stages. The Guide is also provided for the information of supervisors whose responsibilities include ensuring that candidates follow its recommendations.

A thesis which does not follow the approved format will not be accepted and the degree will not be conferred until an acceptable standard has been met.

THESIS PREPARATION

The thesis must be written in English, but the Board for Graduate Studies and Research may, in special circumstances, on application from candidates in the Departments of Foreign Languages, and Literature, and on the favourable recommendation of the Faculty Sub-Committee, give permission for theses to be written in a language other than English.

The greater portion of the work submitted for examination must have been done subsequent to the initial registration of the student as a candidate for the degree.

The thesis must form a distinct contribution to the knowledge of the subject and afford evidence of originality shown either by the discovery of new facts or by the exercise of independent critical power. It must be of satisfactory literary standard and must be suitable for publication as a thesis of the University of the West Indies.

The thesis must consist of the candidate's account of his/her own research. It may describe work done in conjunction with his/her Supervisor provided that the candidate clearly states his/her personal share in the investigation and that his/her statement is certified by the Supervisor.

A paper written or published in the joint names of two or more persons, one of whom is the candidate, may be included as a part of a thesis provided that the role that the candidate played in the work described in the paper, and the contribution of the other authors are clearly explained. No thesis shall consist wholly of previously published work.

The candidate may indicate in a preface how far the thesis embodies the result of his/her own research or observation, and in what respect his/her investigations appear to him/her to advance the study of his/her subject.

A candidate will not be permitted to submit a thesis consisting wholly of work for which a degree has been conferred on him/her in this or any other University; but a candidate shall not be precluded from incorporating work which he/she has already submitted for a degree in this or any other University in a thesis covering a wider field, provided that he/she shall indicate in the preface to his/her thesis any work done which has been so incorporated.

A thesis must be submitted in a form that can be reproduced in a clear and usable format, and since a thesis will be stored for many years, it must also be in a form that is durable. Durability depends on the choice of binding and the quality of paper used.

Close attention should be paid by the student to the following criteria:

- a) the text and all illustrative material should be clear and error free;
- b) paper of good quality should be used;
- c) margins on each page should be as specified in the General Regulations for Graduate Diplomas and Degrees, and in this Guide.

The professional staff of the Campus Libraries is available for advice, before submission on the technical requirements of the thesis, for example, layout, bibliography, footnotes etc.

COLLATION

It is the candidate's responsibility to prepare and assemble all materials for the thesis, and to have the pages of the thesis in correct order.

PAPER

The thesis must be produced on one side only of good quality white bond paper (usually of 20-lb weight) of standard letter – size (8 ½" x 11") or international A4 size (210mm x 297 mm). The same grade of paper must be used throughout the thesis.

PRODUCTION OF MANUSCRIPT

Theses must be produced on an electronic typewriter or, if computer produced, printed on a high quality printer.

- (a) Spacing

Double line spacing must be used. Single spacing is permitted within long quotations, footnotes, bibliographic items, appendix items and sub-sections of the Table of Contents. However, between each entry double spacing should be used.

(b) Margins

The top, bottom and right margins should be not less than 1" or 2.5 cm in width, and the left-hand margin should be 2" or 5cm in width to allow for loss in binding.

(c) Typeface

The type should be 10 or 12 pitch/point, and the same typeface must be used throughout the text.

Equations and formulae must be typed. Hand insertions in permanent black ink are acceptable only where the instrument cannot make the symbol. A sample page can be submitted to the Library for approval if desired.

QUOTATIONS

Quotations of more than two lines should be set off from the text in single spacing and indented at least four spaces from the left hand margin.

FOOTNOTES/ENDNOTES

Footnotes should be single spaced and placed at the bottom of the appropriate page.

If the references are treated as endnotes, they are to be placed in sequence (by chapter) immediately preceding the bibliography.

Form and style will differ from discipline to discipline, but the form and style selected must be maintained throughout the thesis. The recommended Style Manual appropriate to the discipline advises on the preparation and arrangement of footnotes and endnotes.

Footnotes and Endnotes are acceptable.

See *Appendix X* for recommended Style Manuals.

TABLES, FIGURES

A table or figure should appear in the text closely following the point where it is first discussed, usually no further than the page following.

Tables and figures should be listed by number, title and page number in the thesis, and the titles of tables and figures should correspond exactly to the titles which appear in the text. These lists should be placed after the Table of Contents. Arabic numbers should be used in two separate sequences for the identification of the Tables and Figures.

CORRECTION OF ERRORS

The thesis must be free of typographical errors.

Corrections made in ink or with opaquing fluid are not acceptable. If a neat erasure cannot be made, the page must be re-typed.

Deletions must not be made by crossing out or striking out letters or words. The typed line must be continuous. If the word or words cannot be erased clearly and neatly with proper spacing, the whole line, the paragraph, or the whole page must be re-typed.

Additions of words or phrases must **not** be typed between lines or inserted by hand. The whole page must be re-typed to incorporate the additions.

Pages which are obviously insert pages are not acceptable. The surrounding pages should be re-typed to incorporate the material.

PAGINATION

The title page is not numbered or paged in.

The pages before the start of the text must be numbered in lower case Roman numerals. The pages of the text must be numbered in a new sequence of Arabic numerals consecutively throughout the thesis.

All page numbers, Roman or Arabic, must be in an exact consistent location, preferably at the top right hand corner of the page, approximately three quarters of an inch down and three quarters of an inch inwards at the top right-hand corner.

Each chapter should begin on a new page.

Appendices should continue the sequence of Arabic numerals. If appendices are pages from other documents, this must be so indicated, by means of a footnote on the first page of the relevant appendix, where a full bibliographic description of the original sources should be given.

The numbering sequence should include all matters which will be part of the bound volume, for example, maps, plans and diagrams, with the exception of structural diagrams in Chemistry theses, should appear on numbered facing pages.

A separate sequence of numbering in lower case Roman numerals should be given to material such as plates, maps, diagrams and tables which are loose and which will be placed in end pockets of bound volumes, or will be bound separately from the typescript. All loose material should bear the candidate's surname, initials, degree and date of submission.

When a thesis consists of two volumes, one continuous numbering sequence should be used for both volumes, i.e. the numbering of the second volume should continue from the numbering of the first volume.

ARRANGEMENT

The following sequence for the arrangement of the thesis should be followed:

Title Page
 Declaration Form for the Reproduction of Theses
 Abstract
 Acknowledgements (if any)
 Dedication (if any)
 Table of Contents (including Appendices)
 Table of Cases (if any)
 Table of Statutes (if any)
 Table of Constitutional Instruments (if any)
 Glossary (if any)
 List of Figures, Tables, Illustrations, Charts, etc.
 Preface (if any)
 Text of Thesis
 References (including endnotes as necessary) and/or
 Bibliography
 Appendices (if any)

TITLE PAGE

The title page shall bear the officially approved title of the work, the name of the University, the degree for which the thesis is submitted, the full name of the candidate as officially registered and the year when the work was submitted for examination. The standard format is given in *Appendices I and II*.

ABSTRACT

Each copy of the thesis shall contain an abstract of not more than 300 words, the first page of which shall be numbered i, (i.e. the lower case Roman numeral).

It must include the title "ABSTRACT", the thesis title, the author's name and a summary of the content and conclusions of the thesis. The format is illustrated in *Appendix III*.

The abstract should identify briefly the purpose of the research, the methods used, the results obtained and the significance of the results or findings.

Candidates are asked to indicate keywords which best reflect the subject of the thesis to facilitate retrieval of information. These keywords should be placed at the end of the Abstract under the heading **keywords**.

ACKNOWLEDGEMENTS (Optional)

The inclusion of this single page is left to the discretion of the author. The acknowledgements page is a record of the author's indebtedness and should include acknowledgements of permission to use copyrighted material which appears extensively in the thesis.

DEDICATION

Dedication is permissible.

TABLE OF CONTENTS

The Table of Contents should include the Abstract, Acknowledgements, Dedication, Lists of Figures, Tables, etc. which have been placed before the text. The beginning page number of each of these sections as well as that of the chapters, the significant sections, bibliography and other end matter, should be given.

BIBLIOGRAPHY

1. A fundamental rule of good scholarship is that basic research be reliable and correct and all sources be acknowledged.
2. All references whether they be footnotes, endnotes, or bibliographies must conform to certain stylistic requirements. Although the sciences and humanities differ in matters of form, the fundamental principles that govern referencing procedures are the same.
3. Titles or journals when abbreviated in the Reference/Bibliography, should be done in an accepted and consistent style.
4. A recommended style manual appropriate to the discipline should be used for the organization of all references. A list of Style Manuals recommended by each Faculty/Department is given in *Appendix X*.

APPENDICES

The purpose of an appendix is to contain research material which is pertinent to the thesis but which is not essential to an understanding of the work done by the candidate.

The appendices should be numbered and should form part of the sequence of pages bearing Arabic numerals. Each appendix must have a title descriptive of its contents, and a list of Appendices must be included in the Table of Contents. (See **Arrangement**).

ILLUSTRATIVE MATERIAL

Photographs or any other illustrative material incorporated into the thesis either should be printed on a single weight photographic paper or be dry-mounted and accurately fixed on thesis paper using a pressure applied adhesive (such as mounted squares). They should not be mounted with staples, cellophane tape, rubber cement, glue, or photo covers as deterioration of the paper may result.

OVERSIZE MATERIAL

Oversize material such as graphics, maps, charts, etc. necessary to the exposition of the thesis can often be reduced in size photographically (photo-reduction) to fit the standard thesis page, or appear as a foldout. A foldout is a page whose left side is bound into the thesis but whose right side can be unfolded in one or more panels, extending, when read, beyond the right side of other thesis pages. The foldout should be carefully folded so that no folds fall outside the thesis margins. The page numbers should be placed to align with the number of other pages of the thesis. Alternatively, such materials should accompany the bound copy of the thesis in a pocket affixed to the inside back cover of the thesis and paged as advised under **Pagination**.

LENGTH OF THESIS

A thesis submitted for examination shall be the length approved by the Board for Graduate Studies and Research for the Faculty in which the candidate is registered. The candidate must indicate on a loose leaf inserted in the folder the approximate number of words contained in the thesis. A candidate wishing to exceed the prescribed limit must apply for permission to the Board for Graduate Studies and Research through his Supervisor.

The approved maximum lengths of theses are as follows:

FACULTY OF SCIENCE & TECHNOLOGY
FACULTY OF PURE & APPLIED SCIENCES
FACULTY OF AGRICULTURE & NATURAL SCIENCES

Agriculture

| | | | | |
|---------|---|-------------------------|-------|----------------------|
| M.Sc. | - | not to exceed 200 pages | -- # | |
| M.Phil. | - | not to exceed 250 pages | -- | including appendices |
| Ph.D. | - | not to exceed 300 pages | -- | |

Natural Sciences

| | | | | |
|---------|---|----------------------------|-------|------------------------------|
| M.Sc. | - | not to exceed 15,000 words | -- | |
| M.Phil. | - | not to exceed 50,000 words | -- * | excluding references, |
| Ph.D. | - | not to exceed 80,000 words | -- | appendices, tables & figures |

FACULTY OF ARTS & EDUCATION
FACULTY OF HUMANITIES
FACULTY OF HUMANITIES & EDUCATION

Arts

| | | | | |
|---------|---|----------------------------|-------|------------------------|
| M.A. | - | not to exceed 20,000 words | -- | |
| M.Phil. | - | not to exceed 50,000 words | -- * | excluding footnotes or |
| Ph.D. | - | not to exceed 80,000 words | -- | endnotes & appendices |

Education

| | | | | |
|-----------------|---|----------------------------|-------|------------------------|
| M.Ed.(Project)- | | not to exceed 18,000 words | -- | |
| M.Phil. | - | not to exceed 50,000 words | -- * | excluding footnotes or |
| Ph.D. | - | not to exceed 80,000 words | -- | endnotes & appendices |

FACULTY OF ENGINEERING

| | | | | |
|---------|---|-------------------------|-------|----------------------|
| M.Sc. | - | not to exceed 200 pages | -- # | |
| M.Phil. | - | not to exceed 250 pages | -- * | including appendices |
| Ph.D. | - | not to exceed 500 pages | -- | |

FACULTY OF LAW

| | | | | |
|---|---|----------------------------|----|--------------|
| LL.M. (Legis. Draft: with Research Paper) | - | not to exceed 15,000 words | -- | exclusive of |
| LL.M. (Coursework: with Research Paper) | - | not to exceed 25,000 words | -- | footnotes or |
| LL.M. (Thesis only) | - | not to exceed 50,000 words | -- | endnotes & |
| Ph.D. | - | not to exceed 80,000 words | -- | appendices |

FACULTY OF MEDICAL SCIENCES

SCHOOL OF CLINICAL & MEDICAL SCIENCES

| | | | | |
|---------|---|----------------------------|---|-------------------------------|
| M.Phil. | - | not to exceed 50,000 words | * | excluding references, |
| Ph.D. | - | not to exceed 80,000 words | | appendices, tables or figures |

FACULTY OF SOCIAL SCIENCES

| | | | | |
|---------|---|----------------------------|-------|----------------------|
| M.Sc. | - | not to exceed 20,000 words | - | |
| M.Phil. | - | not to exceed 50,000 words | - * | excluding appendices |
| Ph.D. | - | not to exceed 80,000 words | - | & footnotes |

#* There are approximately 250 – 280 pages per page (double spaced)

FORMS AND PROCEDURES

SUBMISSION OF THESIS

At least three (3) months before the thesis is ready for submission for examination, the candidate is required to formally apply for entry to the Examinations on a specified form and pay the required examination fee. The application must be accompanied by a certificate of completion of study from the Supervisor. (A sample of each of these forms is given in *Appendix IV*. The arrangement of format may vary according to the Campus).

The formal submission of the thesis for examination is made by the candidate to the Administrative Assistant in the Graduate Admissions Section of the Registrar's Office.

Five copies of the thesis should be submitted.

The submitted thesis must be accompanied by the Certificate from the candidate's Supervisor that the thesis is presented in a technically acceptable form. *Appendix V* refers.

If in the opinion of the Supervisor, the thesis is not ready for submission, he/she shall advise the Registrar in writing that the thesis has been submitted against his/her advice and that he/she has advised the candidate accordingly.

The student, the Supervisor, Department and Faculty have a shared responsibility to ensure the quality of the thesis. Since theses are contributions to knowledge and are open to public scrutiny, sound academic standards must be adhered to in their preparation and presentation,

It is preferable that the thesis submitted for examination is in soft cover, but the thesis can also be submitted in one or more binders.

The sequence of events following the thesis submission is given in *Appendix VI*.

LIBRARY SCRUTINY

The Libraries' scrutiny of theses is intended to ensure that students comply with the requirements of the Thesis Guide in relation to format, arrangement, abstract, references and bibliography. The Libraries seek to ensure an awareness of the discipline involved in preparing scholarly publications in a consistent style.

The Libraries certify the thesis as acceptable when they are satisfied that the thesis complies with the requirements of the Thesis Guide and recommended style manuals. This process should be completed within 30 days. A form to this effect is sent to the Registrar's Office. *Appendix IX* refers.

EXAMINATION

The University Regulations governing the appointment of Examiners and the examination of the thesis are given in the Regulations for Graduate Diplomas and Degrees.

Nomination of the candidate's Examiners to the Board for Graduate Studies and Research is the responsibility of the Department to which the candidate belongs. The Department should ensure that the Examiners nominated (especially the External Examiner) are willing to examine the thesis before submitting names for appointment.

The Thesis Examining Committee consists of a minimum of three Examiners – normally two Internal Examiners (of whom one is the candidate's Supervisor) and one External Examiner. It is possible under certain circumstances to have one Internal and two External Examiners. Each examiner must submit to the Registrar a confidential written appraisal of the thesis. The deadline for these reports is three months after the receipt of the thesis.

For Masters' degrees, Faculty Regulations specify whether an oral examination is compulsory for the relevant degree programme, but in all cases the examiners can request an oral examination.

The oral examination is compulsory for the Ph.D. degree.

The Registrar's office will arrange a date for the final oral examination in consultation with the examiners and the notice of the oral examination will appear on Campus Notice boards normally at least two weeks before the date of the examination.

Normally the oral examination is held within four (4) months from the date of submission of the thesis. Four decisions are open to the Examiners – the thesis can be accepted as submitted, accepted with minor corrections, accepted with major modifications, or rejected. In the case of a Ph. D. thesis, the thesis can be recommended for a lower degree.

Minor corrections are normally typographical errors and small corrections to the tables, references, etc., or the addition and/or alterations of a few sentences or paragraphs. Such minor corrections normally are subject only to the approval of the thesis Supervisor before the thesis is recommended for the award of the Degree and *is* accepted for binding.

Where, in the judgement of the Examiners, changes of a substantive nature are required which will require re-writing of parts of the thesis, the Examiners will recommend that a candidate do these major modifications and then re-submit the thesis for examination. A statement of the modifications required by the Examiners is conveyed to the candidate by the Registrar. The Dean of the School for Graduate Studies and Research may recommend that a thesis resubmitted for examination, be referred back to the External Examiner.

The thesis is rejected when in the judgement of the examiners the thesis is unacceptable on substantive grounds.

PUBLICATION OF THESIS

RESTRICTION

It is the intention of the University that there should be no restriction on the availability of a thesis to those who may wish to consult it.

However, in exceptional cases, the author of the thesis, in consultation with the thesis supervisor and with the approval of the Senate shall have the sole right to determine distribution of copies of his work for three years from the date of acceptance of the thesis. The author may also ask the Senate to withhold the thesis and its abstract from access while a patent is sought, or for other good cause.

MICROFILMING

When submitting the thesis for Library scrutiny the student should include a completed copy of the Declaration Form permitting the University to make a microfilm copy of the thesis. Microfilming ensures long-term preservation of the thesis since the printed copy will deteriorate with time and use.

The declaration includes also the provision that the candidate may exercise the right to prohibit the distribution of the microfilm for three (3) years after the date of depositing the thesis. All bound copies finally submitted to the University should include signed copies of the Form, which is available from the Office of the Assistant Registrar, Graduate Studies. A sample of the Declaration Form is given in *Appendix VIII*.

BINDING OF THESIS

When the recommendation for the award of the degree has been made to the Board for Graduate Studies and Research, the candidate is required to submit to the Registrar's Office, for deposit in the University Libraries, three **unbound** copies of the thesis presented in a form acceptable in all particulars and which conform to the Regulations. A copy of the candidate's signed Declaration Form is to be included in each thesis.

A thesis submitted for deposit in the University Libraries will be bound. The binding of the thesis should be in good quality **black** art vellum or cloth, with overcast edges cut. The thesis title, author's full name and the year of conferral of the degree should be lettered boldly in gold on the front cover in letters $\frac{1}{4}$ " (6 mm) high. *Appendix VII(i)* refers. The author's name, degree and the year of conferral of the degree should appear on the spine, lettered downwards, using the same font. *Appendix VII(ii)*.

It is the responsibility of the candidate to see that the thesis is bound in accordance with the University regulations.

The University reserves the right to reject any manuscript that does not comply with the University's regulations, that is not neat and legible, or that is not suitably bound.

NOTE:

This Thesis Guide, with due alteration to details [to be approved by the Campus Committee for Graduate Studies and Research] shall apply to Research Papers and Research Projects.

APPENDIX I (i)**Format of Title Page for M.A. & M.Sc & LL.M. & MSW & M.Ed Theses/Research Papers/Projects/Case Books, etc.**

TITLE OF THESIS/RESEARCH PAPER/PROJECT REPORT, etc¹

(At Top of Page & All Capitals)

(In Centre of
Page)

A Thesis (Research Paper)

Submitted in Partial Fulfillment of the Requirements for the Degree of
(Specify Name of Degree e.g. Master of Science in Economics)

of
The University of the West Indies

(At Bottom of Page)

Author's Name in Full²
Year of Submission

Department of
Faculty of
Campus

¹As approved by the Board for Graduate Studies and Research

²Exactly as it is on file at the Registrar's Office

APPENDIX I (ii)

Specimen Title Page for M.A. & M.Sc & LL.M. & MSW & M.Ed Theses/Research Papers

PROSPECTS FOR A UNITED CARIBBEAN: A HISTORICO-POLITICAL
ANALYSIS OF THE FUTURE OF
THE CARIBBEAN INTEGRATION MOVEMENT

A Thesis
Submitted in Partial Fulfillment of the Requirements for the Degree of
Master of Science in Politics

of
The University of the West Indies

Peter Wilsden Wickham
1993

Department of Government, Sociology & Social Work
Faculty of Social Sciences
Cave Hill Campus

APPENDIX II (i)**Format of Title Page for Ph.D. & M.Phil. Theses**TITLE OF THESIS¹

(At Top of Page & All Capitals)

(In Centre of Page)

A Thesis
Submitted in Partial Fulfillment of the Requirements for the Degree of
(Specify Name of Degree e.g. Doctor
of Philosophy in Agriculture)

of
The University of the West Indies

(At Bottom of Page)

Author's Name in Full²
Year of Submission

Department of
Faculty of
Campus

¹As approved by the Board for Graduate Studies and Research

²Exactly as it is on file at the Registrar's Office

APPENDIX II (ii)

Specimen Title Page for Ph.D & M.Phil Theses

THE WORK BEHAVIOUR OF TEACHERS
COMPARED WITH GOVERNMENT AND
PRIVATE SECTOR WORKERS

A Thesis
Submitted in Partial Fulfillment of the Requirements for the Degree of
Doctor of Philosophy in Education

of
The University of the West Indies

by
Donald Eugene Collins
1985

School of Education
Faculty of Humanities & Education
Cave Hill Campus

APPENDIX III (i)**Format for Master's thesis****ABSTRACT****An Elementary Integral Equation Method Applied
To Boundary Value Problems in Geomechanics**

Mohammad Osama Al-Hunaidi

Contact stress and soil-structure interaction problems are important in two respects, first: evaluating the deflection, flexural moments and shearing forces structural in the unit, and secondly, establishing the stress and displacement fields in the supporting soil medium.

In this thesis, a numerical method of solution is presented for the prediction of contact stresses, as well as the displacement of simple rigid structural units embedded in elastic, isotropic and semi-infinite soil medium.

The analysis is based on a displacement integral equation. The integral equation describes the displacement field in the half-space (due to a traction fraction at some boundary within the half-space) using Melan's fundamental solution and the principle of superposition.

Keywords: Mohammad Osama Al-Hunaidi; Contact stress and soil-structure interaction; Melan's fundamental solution.

APPENDIX III (ii)**Format for Doctoral thesis**

ABSTRACT

History, Ethics and Emergent Probability: Bernard Lonergan's
Emergent Probability and its Import For His Philosophy of
History and His Ethical Foundations

Kenneth Richard Melchin

This dissertation examines Bernard Lonergan's structured world view, emergent probability, as the appropriate context for understanding his ethical foundations in *Insight*, chapters six and eighteen, and in *Method in Theology*, Chapter two, and for understanding these foundations within his account of the dynamic structure of history as developed in *Insight*, chapters seven and twenty. The contention throughout is that a precise grasp of the concepts underlying Lonergan's terms: "direct and inverse insights", "systematic and non-systematic relations", "probability", "statistical and classical laws", "recurrent schemes". "emergence", "higher viewpoints" and "finality" are all essential to understanding his work in ethics and history and to understanding the role of religion in these fields.

Keywords: Kenneth Richard Melchin; Bernard Lonergan; ethics and history.

APPENDIX IV (i)

**THE UNIVERSITY OF THE WEST INDIES
..... CAMPUS**

**APPLICATION FOR ENTRY TO AN EXAMINATION
FOR A MASTER'S DEGREE INVOLVING A THESIS**

This form must be accompanied by:

- a) the proper Examination Fee;
- b) a statement by the student's Supervisor certifying that the candidate has completed a postgraduate course at this University for a Master's Degree involving a thesis and has complied with the attendance requirements of the Faculty. This certificate should be countersigned by the Head of Department.

Four copies of Thesis or Dissertation and of any subsidiary contributions submitted must be sent separately.

USE BLOCK CAPITALS

| | |
|---|---|
| 1. Surname | Amount of fee enclosed |
| Other names in full | Examination Number |
| 2. Permanent address | |
| 3. Date of obtaining Bachelor's Degree and University where obtained. | Result: (To be filled out by the University) |
| 4. Date of Registration as a candidate for this Examination | |
| 5. If you have previously entered for this examination state when. If re-examination in one part was then allowed state this. | |
| 6. Title of Thesis | |
| 7. Written Examinations passed as part of the requirements for the Degree, with dates. | |
| 8. Titles of subsidiary published works, if any, submitted in support of candidate. | Four copies of each must be sent – see note above |
| 9. Title of any Dissertation or Thesis for which a Degree of this or any other University has been conferred upon you, and extent (if any) to which such work is incorporated in the Dissertation or Thesis you now submit. | |

Signature of Candidate

Date

APPENDIX IV (ii)

THE UNIVERSITY OF THE WEST INDIES
..... CAMPUS

Amount of fee enclosed
(To be filled in by Student)
\$ _____

FORM OF ENTRY FOR THE DOCTOR OF PHILOSOPHY (Ph.D)

Name of Candidate (in full, with surname first, see Note 1 below):
(BLOCK CAPITALS)

Mr _____

Mrs _____

Miss _____

Campus of the University _____

Faculty _____

Field of Study (as approved by the University) _____

This form and the relevant enclosures, accurately completed, should be returned to the Senior Assistant Registrar, Graduate Studies with the proper fee (see Note 2 below).

The enclosed certificate must be completed and submitted before or at the same time as the thesis. If the candidate has not submitted his thesis within eighteen months of the submission of this entry, the entry will be cancelled.

Note 1: Please use PRINTING CHARACTERS for your surname and other names. If you are awarded the degree, your degree diploma will bear your names as they appear in the University's records on the date of the award, the surname last. No change can be made in your names as they appear in the records unless you forward a specific request supported by documentary evidence e.g. marriage certificate or deed poll.

Note 2: For candidates who are re-entering with the permission of Senate within a specified period, the fee payable is half the normal fee. The fee must be sent by CHEQUE OR MONEY ORDER PAYABLE TO 'UNIVERSITY OF THE WEST INDIES'. The University will not be responsible for fees sent otherwise than in accordance with the above directions.

1. Age at last birthday, together with full date of birth _____
 2. Private Address for correspondence during conduct of examination (see Note 1 below). (BLOCK CAPITALS)

_____ Telephone number _____
 3. If awarded the degree, the address to which diploma should be sent (see Note 2 below)

 4. Date of registration for Ph.D. _____
 5. Length of prescribed course of study _____ academic calendar years.
 6. Supervisor _____
 7. Give a complete list of the degrees that you have taken in this or any other University, with full particulars, including dates _____

 8. Title of the thesis as approved by the University

 9. Month in which you intend to submit the thesis for examination _____
 10. Titles and dates of any other printed contributions to the advancement of your subject, published independently or conjointly, which you wish to submit as subsidiary matter in of your candidature.

- Date: _____ Signature of Candidate: _____

Signature on behalf of Governing Body of University: _____

Official Title: _____

Note 1 Notice of the oral examination will be sent to this address and it is essential that any change should be notified immediately to the Senior Assistant Registrar, Graduate Studies.

Note 2. Any change of the address should be notified to the Senior Assistant Registrar, Graduate Studies. Failure to comply with this instruction may result in the loss of your diploma and the University can accept no responsibility.

APPENDIX IV (iii)

THE UNIVERSITY OF THE WEST INDIES
..... CAMPUS

**CERTIFICATE OF HAVING COMPLETED A
COURSE OF STUDY FOR A HIGHER DEGREE BY THESIS**

Faculty in which student is registered _____

Degree _____

Title of Thesis _____

We hereby certify that (name in full) _____

a Registered student of the University has pursued an Approved Course of Study for a Higher Degree* in accordance with the Regulations and to our satisfaction*, under the supervision of the Teacher named below extending from:-

_____, _____ to _____, _____

and has complied with the requirements concerning attendance.

*If the Supervisor is not satisfied with the student's performance he should delete this phrase and write appropriate comments below.

Supervisor's Comments (if any) _____

Signature of Supervising Teacher

Signature of Head of Department

Date

APPENDIX V

**THE UNIVERSITY OF THE WEST INDIES
..... CAMPUS**

**CERTIFICATE OF COMPLETION OF
THESIS/RESEARCH PAPER**

Faculty in which student is registered _____

Degree Followed _____

I hereby certify that MR/MRS/MISS _____
(Name in Full)

a Registered student of this university has completed his/her M.A./ M.Sc./ MED/ MSW/ MPhil/ Ph.D. Thesis/ Research Paper/ Project Report and four (4) identical copies of the work (M.A./ M.Sc./ MED/ MSW) or five (5) copies (MPhil/ Ph.D.) have been produced in accordance with the requirements of the University and are acceptable for examination.

Title of Thesis/Research Paper/Project Report: _____

Name of Supervisor

Name of Head of Department

Signature of Supervisor

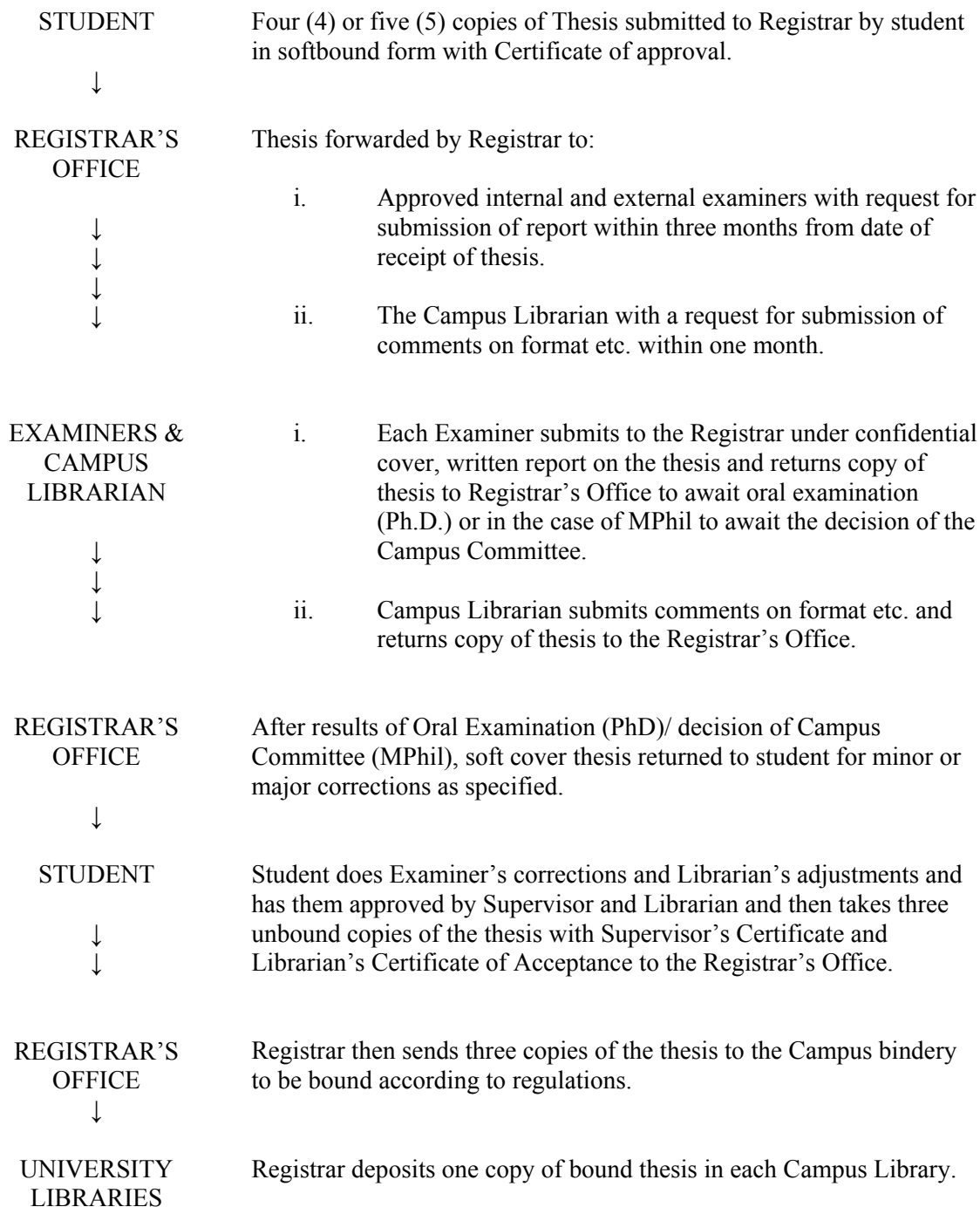
Signature of Head of Department

Date

Date

APPENDIX VI

THESIS ROUTING



APPENDIX VII (i)

FORMAT FOR FRONT COVER OF THESIS

(all capitals)

TITLE OF THESIS

(in middle)

AUTHOR'S FULL NAME

**(towards the end
of page)**

YEAR (Year of Conferral of Degree)

APPENDIX VII (ii)

SPECIMEN FOR FRONT COVER AND SPINE OF THESIS

| | |
|---|---|
| <p>J. J. Jones</p> <p>Ph.D</p> <p>1978</p> | <p>ECONOMIC PLANNING IN UNIVERSITIES</p> <p>JAMES J. JONES</p> <p>1978</p> |
|---|---|

* The labeling on the spine should read top down – author, degree, date – but should be so oriented that when the book is laid flat with the cover up, the words and dates on the spine are upright.

APPENDIX VIII

THE UNIVERSITY OF THE WEST INDIES

DECLARATION FORM FOR THE REPRODUCTION OF THESIS

A thesis which is accepted by the University for the award of a Higher Degree is placed in the University Libraries. The copyright of the thesis is retained by the author.

As you are about to submit a thesis for a Higher Degree, you are required to sign the declaration below. Should the Examiners require a change in the title set out below you must complete a new form. This declaration will be destroyed if your thesis is rejected by the examiners.

University Registrar

**THIS DECLARATION MUST BE COMPLETED AND RETURNED
WITH THE EXAMINATION ENTRY FORM**

To be completed by the candidate

NAME IN FULL (Block capitals)

TITLE OF THESIS

.....

DEGREE FOR WHICH THESIS IS PRESENTED.....

DATE OF AWARD OF DEGREE (to be completed by The University)

DECLARATION

1. I recognise that my thesis will be made available for public reference and inter-library loan.
2. I authorise the University of the West Indies to make microfilm copies of my thesis for the purposes of public reference, preservation and inter-library loan.
3. I understand that before any person is permitted to read, borrow or copy any part of my thesis that person will be required to sign the following declaration: "I recognise that the copyright in the abovementioned thesis rests with the author. I understand that copying the thesis may constitute an infringement of the author's rights, unless done with the written consent of the author or in accordance with the provisions of the Copyright Act which expressly permit copying without the author's consent. I further understand that no information derived from this thesis may be published without acknowledgement."
4. I warrant that this authorisation does not, to the best of my belief, infringe the rights of any third party.
5. I understand that in the event of my thesis being rejected by the Examiners, this declaration would become void.

DATE SIGNATURE OF CANDIDATE

Note: A candidate may apply to the Senate at the time of submitting the thesis for deposit in the Libraries or thereafter:-

1. To retain personally for three years the sole right to grant permission to copy his/her work for distribution.
2. To withhold the thesis and its abstract from access for a period of one year provided that he/she shows either evidence of having applied for a patent in respect of his/her work, or other good cause. Application may be made for withholding the thesis and its abstract from access for a further period but approval will, only very exceptionally, be given for a period exceeding three years overall.

APPENDIX IX

THE UNIVERSITY OF THE WEST INDIES

TO: Assistant Registrar, Graduate Studies and Research

FROM: Campus Librarian

NAME OF CANDIDATE _____

TITLE OF THESIS _____

| I certify that | Yes | No | Remarks |
|--|-----|----|---------|
| 1. The approved thesis layout and other guidelines have been followed. | | | |
| 2. The information on the title page is consistent with the standard format approved by the University. | | | |
| 3. An abstract has been included in the thesis and is satisfactory. | | | |
| 4. All references follow an accepted style which is used consistently. | | | |
| 5. The declaration form permitting reproduction of the thesis has been signed by the candidate and provided for binding into the thesis. | | | |

I certify that the above thesis is acceptable/not acceptable in its present form.

Date

Signature

APPENDIX X

Recommended Style Manuals:

| | |
|---|--|
| <p><i>Faculty of Agriculture & Natural Sciences</i> <i>Pure & Applied Sciences;</i> <i>Science & Technology</i></p> | <p><u>Agriculture</u></p> <p>The Chicago Manual of Style. Chicago: University of Chicago Press. <u>Latest edition.</u></p> <p><u>Natural Sciences</u></p> <p>(a) The Chicago Manual of Style. Chicago: University of Chicago Press. <u>Latest edition.</u></p> <p>(b) The ACS Style Guide: A manual for authors and editors. Janet S Dodd, Editor. Washington: American Chemical Society, 1986.</p> <p>(c) AIP Style Manual for Physicists. New York: American Institute of Physics. <u>Latest edition.</u></p> |
| <p><i>Faculty of Arts & Education;</i> <i>Humanities;</i> <i>Humanities & Education</i></p> | <p><u>Arts</u></p> <p>(a) MLA Handbook for writers of research papers. New York: Modern Language Association. <u>Latest edition.</u></p> <p>(b) A Manual for writers of term papers, theses and dissertations, Kate L. Turabian. Chicago: University of Chicago. <u>Latest edition.</u></p> <p><u>Education</u></p> <p>Publication Manual of the American Psychological Association. Washington : APA. <u>Latest edition.</u></p> |
| <p><i>Faculty of Engineering:</i></p> | <p>The Chicago Manual of Style. Chicago: University of Chicago Press. <u>Latest edition.</u></p> |

| | |
|-------------------------------------|---|
| <i>Faculty of Medical Sciences:</i> | <p>(a) International Committee of Medical Journal Editors. Uniform requirements for manuscripts submitted to biomedical journals. (Rev.) <i>British Medical Journal</i> 302 (1991); 338-341.</p> <p>(b) Publication Manual of the American Psychological Association. Washington: APA. <u>Latest edition.</u></p> <p>(c) The Oxford Dictionary for Scientific Writers and Editors. Oxford: Oxford University Press. <u>Latest edition.</u></p> |
| <i>Faculty of Social Sciences</i> | The Chicago Manual of Style. Chicago: University of Chicago Press. <u>Latest edition.</u> |
| <i>Faculty of Law</i> | <p>(a) The Chicago Manual of Style. Chicago: University of Chicago Press. <u>Latest edition.</u></p> <p>(b) The Bluebook: A Uniform System of Citation. Mass., Cambridge: Columbia Law Review, The Harvard Law Review, The University of Pennsylvania Law Review, and The Yale Law Journal.</p> |